

I hereby certify that this correspondence is being deposited with the US Postal Service with sufficient postage as First Class Mail in an envelope addressed to Box Sequence, Commissioner for Patents, P.O. Box 2327, Arlington, Virginia 22202, on the date shown below.

Date: March 22, 2002

By:

Carol A. See
Carol A. See



PATENT
Docket No. GC697

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|--|---|------------------------|
| Application of |) | |
| |) | |
| Dunn-Coleman et al. |) | Group Art Unit: 1751 |
| |) | |
| Serial No. 10/026,140 |) | Examiner: Not Assigned |
| |) | |
| Filed: December 18, 2001 |) | |
| |) | |
| For: BGL5 Beta-Glucosidase and Nucleic |) | |
| Acids Encoding the Same |) | |

TRANSMITTAL LETTER FOR NOTICE TO FILE
MISSING PARTS OF NONPROVISIONAL APPLICATION

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Nonprovisional Application dated January 23, 2002, enclosed please find:

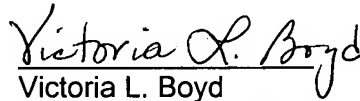
- ☒ Two Combined Declarations and Powers of Attorney signed by the inventor(s) and the surcharge of \$130.00 as set forth in 37 C.F.R. § 1.16(e);
- ☐ Petition for a _____ Month Extension of Time;
- ☒ Two Assignment documents, Forms PTO-1595, and the \$80.00 Assignment Recording Fees;
- ☐ Revocation & New Power of Attorney;
- ☒ Preliminary Amendment
- ☒ Statement of Sameness
- ☒ Paper copy of Sequence Listing (3 pages)

- ☒ Diskette copy of Sequence Listing
- ☐ Request for Use of an Identical Computer Readable Form in Another Application Under 37 CFR § 1.821(e);
- ☐ Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures (copy of Notice to be returned with response);
- ☒ Form PTO-1533 (copy of Notice to be returned with response); and
- ☐ Other: _____
- ☒ Charge \$210.00 to Deposit Account No. 07-1048.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 07-1048 (Docket No. GC697). A duplicate of this paper is enclosed.

Respectfully submitted,

Date: March 22, 2002


Victoria L. Boyd
Registration No. 43,510

Genencor International, Inc.
925 Page Mill Road
Palo Alto, CA 94304
Tel: 650-846-7615
Fax: 650-845-6504

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/026,140 | 12/18/2001 | Nigel Dunn-Coleman | GC697 |

CONFIRMATION NO. 5088

FORMALITIES LETTER



OC000000007346293

Genencor International, Inc.
925 Page Mill Road
Palo Alto, CA 94034-1013

Date Mailed: 01/23/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/28/2002 NROCHA1 00000030 071048 10026140

01 FC:105 130.00 CH

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

F r questions regarding compliance to these requirements, please contact:

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov**

A copy of this notice MUST be returned with the reply.

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE